



POLICY ON INCENTIVES FOR RESEARCH AND PUBLICATION

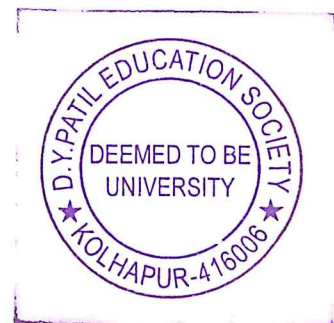
• **Objective**

The primary objective of the proposed incentive policy is to motivate the faculty members (including Post Doctoral Fellow) of D. Y. Patil Education Society, (Deemed to be University), Kolhapur (DYPESDU) to undertake quality research and other research outputs.

• **Scope of the Policy**

This policy covers all faculty members (including Post-Doctoral Fellow) of all departments/ constituent units of Medical College, Centre for Interdisciplinary Research, Nursing, Physiotherapy, Hospitality, Pharmacy, Allied health Science and Engineering, Management and Computer Applications. The scope of the policy envisages, in particular:

- To motivate the faculty members to concentrate on research related activities, in addition to teaching, so as to publish research articles in reputed refereed international and national journals with impact factor.
- To pursue efforts to write books, book chapters, monographs etc. for publication by International and National publishers of repute.
- To evince interest among the members of faculty so that they take efforts to establish collaborative research projects with their counterparts in reputed national and foreign Universities.
- To encourage the faculty members to submit proposals and secure funded research projects from various funding agencies in India and Abroad and from industry.
- To encourage creativity in the minds of faculty members, so that they make original contributions by way of grant of patents of products, and processes, copy rights, registration of design, etc.





D. Y. PATIL EDUCATION SOCIETY (DEEMED TO BE UNIVERSITY) KOLHAPUR

(Declared u/s 3 of the UGC Act 1956 vide Notification No. F.9-26/2004-U.3 dt. 01-09-2005 of the GOI)

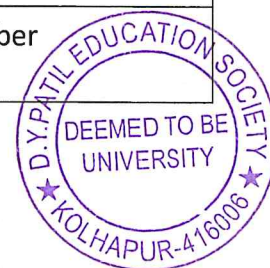
NAAC with 'A++' Grade in 3rd Cycle

• Details of the Policy

- Calendar year will be applicable for consideration of research incentive.
- It is mandatory to have DYPESDU affiliation in publication /book /book chapter/ monographs/Proceedings of conference/ registration of design/copyright/externally funded research project and presented paper/ invited talk/ chaired session in national and international conferences.
- At least one research publication should be published/ accepted in MJDYPU in the same year.
- There is no upper limit for incentives received by individuals.
- Research paper published in indexed journals will be considered for incentive (details follow).
- Research Publication Means: Original research papers, meta-analysis, reviews, and case series, short communications, and conference proceeding publications with ISSN that are that are published in journals such as Medline, PubMed, PubMed Central, Citation index, Sciences Citation index, Expanded Embase, Scopus, web of Science and Directory of Open Access Journals (DOAJ) In the interpretation of incentive policy, final decision will be made by the committee.

The committee shall consist of following members:

Sr. No.	Name	Designation
1	Dean, D. Y. Patil Medical College	Chairman
2	Research Director, Centre for Interdisciplinary Research	Member secretary
3	IQAC Director	Member
4	Principal, D. Y. Patil College of Nursing	Member
5	Principal, D. Y. Patil College of Physiotherapy	Member
6	Principal, D. Y. Patil College of Pharmacy	Member
7	Director, School of Engineering and Management	Member
8	Dr. Asawaree Yadav, School of Engineering and Management	Member





1. Incentives for Research Publications

For each Research Publication, author/s will be given one-time incentive based on quality of the Journal decided by impact factor of the journal and mention of journal in approved databases. The disbursement of incentive will be carried out as per Annexure-I.

A faculty can claim incentive for the Indexed publications as follows:

1.	1. Embase Indexed journal 2. DOAJ 3. Science Citation Index 4. Science Citation Index Expanded	Maximum of two publications in any of these in one calendar year
2.	SCOPUS, PubMed, Web of Science	No limit to number of publications in a given calendar year

2. Incentives for Books/ Book Chapters/ Monographs.

The e-book with ISBN and hard copy books are to be considered for research incentive.

- For each Book (Reference or Text) authored/edited singly or jointly and published by International Publisher (McGraw Hills, Talyer Francis, Springer, Elsevier, CBS Publisher etc. or Apex bodies/Societies) with ISBN (International Standard Book Number), the DYPESDU Authors/Editors will be given one-time incentive maximum of Rs. 35,000/- (Disbursement of amount among authors/Editors as per Annexure-I)
- For each Book (Reference or Text) authored/edited singly or jointly and published by DYPESDU /National Publisher (McGraw Hills India, JP publisher, Springer India, Elsevier India, CBS Publisher etc.) with ISBN, the DYPES DU Authors/Editors will be given one-time incentive maximum of Rs. 25,000/- (Amount disbursement among authors/Editors as per Annexure-I).
- For each Book (Reference or Text) authored/edited singly or jointly and published by Local/ Regional Publisher with ISBN, the DYPESDU Authors/Editors will be given one-time





incentive maximum of Rs. 15,000/- (Amount disbursement among authors/Editors as per Annexure-I).

- For each "Chapter/ monograph" in Book published by International Publisher (as per 2.I) with ISBN, the DYPESDU Author/s will be given one-time incentive maximum of Rs. 15,000/- (Amount disbursement among author/s as per Annexure-I)
- For each "Chapter/monograph" in Book published by National Publisher (as per 2.II & 2.III) with ISBN, the DYPESDU author/s will be given one-time incentive maximum of Rs. 12,000/- (Amount disbursement among author/s as per Annexure-I)
- For each "Chapter/monograph" in Book published by local or Regional Publisher (as per 2.III) with ISBN, the author/s will be given one-time incentive maximum of Rs. 5,000/- (Amount disbursement among author/s as per Annexure-I).
- Author with Book and copyright should apply under only one category.

3. Incentive for Sanctioned International/ National Projects.

- (International / National Projects funded by Government and/or private outside agencies)
- Principal Investigator (PI) or Co- Principal Investigator/s (Co-PI) * together (in the ratio of 60:40 %) will be given 25% of overhead amount as received from funding agency every year, as an incentive.
- In case of industries funded projects, where no overhead is received, the University will pay 5% of total cost of the project or maximum 2 lakhs, whichever is less as an incentive to investigators.
- (*In case of Co-PI from multi-institutional or University- industry projects, the University share for the project will be considered. A 5% of university share or maximum 2 lakhs, whichever is less will be paid as an incentive to investigators).
- No incentive will be paid for fully sponsored DYPESDU project.





4. Incentives for Patents Granted.

- The incentive of Rs. 50,000/- for successfully granted *International or foreign patent* and of Rs. 25,000/- for Indian Patent will be given. For more than one inventor from DYPESDU, the disbursement of incentive will be made equally to all inventors despite of their serial number in the inventors list.
- Only finally granted standard patents will be considered.

5. Incentives for Registration of design

- Incentive of Rs 5,000/- will be given after grant of Registration of Design and the incentive will be distributed equally amongst all contributors.

6. Incentives for Copyrights.

- The incentive of Rs. 15,000/- for copyright (book, booklet, concept maps, or any other original scientific work) of more than 10 pages will be the disbursed equally to all contributors despite of their serial number in the list.
- The incentive of Rs. 10,000/- for copyright (booklet, concept maps, or any other original scientific work) of more than 5 pages but less than 10 pages will be the disbursed equally to all contributors despite of their serial number in the list.
- The incentive of Rs. 5,000/- for copyright (booklet, concept maps, or any other original scientific work) up to 5 pages will be the disbursed equally to all contributors despite of their serial number in the list.

7. Incentives for Presenting Paper in Conference/Seminars/ Symposium/ Workshop/ FDP /CME/ scientific or academic competitions, etc.

- Faculty presenting offline paper (oral/poster)/ invited talk/ guest speaker/session chaired in International or National/ State level (organized in India) Conference /Seminars/ Symposium/ Workshop/ FDP/ CME/scientific or academic competitions, etc. are entitled to get incentive on submission of true copy of the certificate. Only one International in two calendar years and one National/ State Conference /Seminars/ Symposium/ Workshop/ FDP/ CME/ scientific or academic competitions etc. in one calendar year are permissible





for individual faculty. The faculty can claim only one (International/National/ State Level Conference /Seminars/ Symposium/ Workshop/ FDP/ CME/ scientific or academic competitions etc.) event in a calendar year. Prior permission or approval (through proper channel) is mandatory to claim for incentive.

- For International events: (oral/poster)/ invited talk/ guest speaker/session chaired in Conference /Seminars/ Symposium/ Workshop/ FDP/ CME/scientific or academic competitions, etc.: Maximum amount of incentive will be Rs. 40,000/-
- (towards Registration fee, international or internal travel, visa fee, accommodation, TA or any other expenses related to conference).
- For National events: (oral/poster)/ invited talk/ guest speaker/session chaired at National/ State level (organized in India) Conference /Seminars/ Symposium/ Workshop/ FDP/ CME/scientific or academic competitions etc.: Maximum amount of incentive will be Rs. 25,000/-.
- towards Registration fee, travel, accommodation, TA or any other expenses related to conference).
- Faculty presenting online paper (oral/poster)/ invited talk/ guest speaker/session chaired in International or National/ State level (organized in India) Conference /Seminars/ Symposium/ Workshop/ FDP/ CME/scientific or academic competitions etc. are also entitled to get incentive on submission of true copy of the certificate.
- For International online events: (oral/poster)/ invited talk/ guest speaker/session chaired in Conference /Seminars/ Symposium/ Workshop/ FDP/ CME/scientific or academic competitions, etc.: Maximum amount of 15,000/- will be given for registration.
- For National online events: (oral/poster)/ invited talk/ guest speaker/session chaired in National/ State level Conference /Seminars/ Symposium/ Workshop/ FDP/ CME/scientific or academic competitions, etc.: Maximum amount of Rs. 10,000/- will be given for registration.



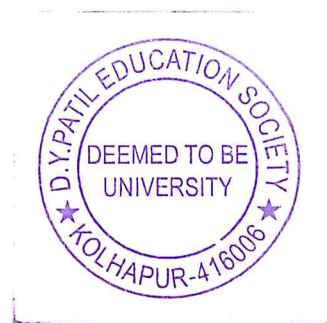


- For any other online conferences/ Seminars/ Symposium/ Workshop/ FDP/ CME/scientific or academic competitions etc. Rs 5,000/- will be given.
- Only one offline and one online conference/ Seminar/ Symposium/ Workshop/ FDP/ CME/scientific or academic competitions in a calendar year will be considered for incentive purpose.
- In case of dispute, final decision on case-by-case basis will be taken by Vice Chancellor.

8. Incentives for International Award/Recognition

- The incentive maximum of Rs. 5,000/- for each National and 10,000/- for international award/recognition granted on individual basis to DYPESDU faculty will be given.
 - i. Elected or nominated membership of any government body or National or international societies will be considered.
 - ii. Lifetime awards presented at National/International conferences, recognized societies etc. will be considered.
 - iii. Any oral/poster presentation award at national or international conferences, seminars, workshops, symposium, etc. will not be considered.

In case of any dispute, the decision of Hon'ble Vice-Chancellor will be final.





Annexure-I

Guidelines for Calculation of Incentives

A. For Publication (Research/Review/Editorial/Case report/ Case study)

- Paper published in Scopus/PubMed/Web of Science indexed Journals with no impact factor according to Journal citation report or Thomson Reuter: Rs.16,000/- (Publication cost Rs. 8,000/- + Incentive Rs. 8,000/-).
- Paper published in journals (with no impact factor according to Journal citation report or Thomson Reuter) indexed with NMC recognized databases Rs.7,000 (Publication cost Rs.3,000/- + Incentive Rs.4,000/-).
- (Research Publication Means: Original research paper, meta-analysis, reviews, and case series that are that are published in journals included in databases of Medline, PubMed Central, Citation index, Sciences Citation index, Expanded Embase, Scopus, Directory of Open access journals (DOAJ) and web of science will be considered).
- For publication with Impact Factor:
(*Impact Factor* according to only *Journal Citation Report* or *Thomson Reuter* indexing agency)

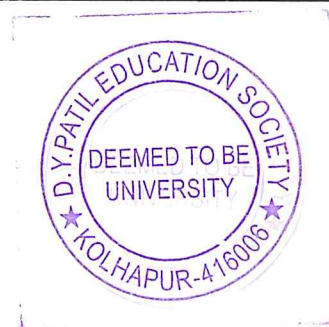
Sr. no.	Range of Impact Factor	Publication cost (Rs. /-)	Incentive (Rs. /-)	Total Outlay (Rs. /-)
1.	0.01 to 1.00	12,000	8,000	20,000
2.	More than 1.00 to 5.00	15,000	10,000	25,000
3.	More than 5.00 to 10.00	20,000	10,000	30,000
4.	More than 10.00	30,000	10,000	40,000





B. Incentive disbursement for Research paper /Review/Guest Editorial/Case report/ Case study/Book/ Book chapter/ Monograph/amongst authors

Authors from DYPES, DU	Distribution of incentives
Single author	100%
First and corresponding or co corresponding authors (only one author)	60 %
Two authors First and corresponding or co corresponding authors	First author: 50% Corresponding author: 50%
Three authors First author, corresponding author and middle author	First author: 35% Corresponding author: 35% Middle author: 30%
Four Authors First author, or corresponding or co corresponding author or one of the two middle authors	First author: 30% Corresponding author: 30% Middle authors: 20% each
Five authors First author, corresponding author or co corresponding or one of the three middle authors.	First author: 30% Corresponding author: 30% Middle authors: 13.33% each
For more than five or more authors: First and corresponding or co corresponding authors from DYPES, DU would share equally 60% and remaining 40% would be shared equally by all other authors.	





C. Distribution of incentive for research paper (Case presentation of Rs 20,000/-)

Number of authors from DYPES	Distribution of incentives	
Single authored	100%	Rs. 20,000/-
First and corresponding or co corresponding authors (two authors) from DYPES, DU	First author: 50% Corresponding author: 50%	Rs. 10,000/- Rs. 10,000/-
First author, corresponding author and one middle author (three authors) from DYPES, DU	First author: 35% Corresponding author: 35% Middle author: 30%	Rs. 7,000/- Rs. 7,000/- Rs. 6,000/-
First and corresponding or co corresponding authors (only one author) from DYPES, DU	60 %	Rs.12,000/-
First author, corresponding or co corresponding authors and two middle authors from DYPES, DU	First author: 30% Corresponding author: 30% Middle two authors: 20% each	Rs. 6,000/- Rs. 6,000/- Rs. 4,000/-
First author, corresponding author or co corresponding and three middle authors from DYPES, DU	First author: 30% Corresponding author: 30% Middle three authors: 13.33% each	Rs. 6,000/- Rs. 6,000/- Rs. 2,666/-
One middle author	30% each	Rs. 6,000/-
Two middle authors	20% each	Rs. 4,000/-
Three middle authors	13.33% each	Rs. 2,666/-
Four middle authors	10% each	Rs. 2,000/-
Five middle authors	8% each	Rs. 1,600/-

First and corresponding or co corresponding authors would share equally 60% and remaining 40% would be shared equally by all other authors in case of contribution with more than 4 authors from DYPES.

