



**D. Y. PATIL**  
**EDUCATION SOCIETY**  
**KOLHAPUR**

(INSTITUTION DEEMED TO BE UNIVERSITY)

(Deemed to be University Declared u/s 3 of the UGC Act 1956 vide Notification No. F.9-26/2004- U.3 dt. 01-09- 2005 of the GOI)

NAAC 'A' Grade

No. DYPES/DU/2020/03

Date: - 16/01/2020

## Notice

The University has a policy to disburse incentives for the research publications, books/book chapters/ monographs, patent, copyright, externally funded research project, presented/invited talk in conferences and awards/recognition for the calendar year 2019.

The faculty from Medical College, Nursing College and CIR, who wish to apply for research incentives, should fill the information in research incentive application form calendar year 2019. (Format attached along with incentive policy document).

The application form (format attached) along with all the necessary documents should reach to the undersigned on or before 03rd February 2020 positively.

The faculty, who has not claimed research incentives in calendar year 2018, may also apply.

**Dr. V. V. Bhošale**  
Registrar

**Encl:**

1. Incentive Policy
2. Application Form



**D.Y. PATIL EDUCATION SOCIETY  
(Deemed to be University), KOLHAPUR**

**Research Incentive Application Form for Calendar Year 2019**

**Name of The Faculty:** \_\_\_\_\_ **Department:** \_\_\_\_\_

**Note:**

- Kindly fill all the details given in the format and attach the proper supporting documents.
- The application form will not be considered without proper supporting documents i.e., Publication, Book/chapter, Project, Patent, copyright and Certificate.

**1. Publications in Journal**

Title of Publication	Name of Journal	ISSN No.	Indexed with database*	Web link to support indexing	Volume, Year, Page no. --- to ---	Total number of Authors from DYPES	Impact Factor#

\*A: UGC listed Journal (provide link), B: Journal in MCI database (provide link), C: Scopus/PubMed/Web of Sci. (provide link)

# Impact factor only based on *Journal Citation Report* or *Thomson Reuter* only. [https://www.researchgate.net/.../326016106\\_2018\\_Journal\\_Impact\\_Factor\\_JCR\\_2018](https://www.researchgate.net/.../326016106_2018_Journal_Impact_Factor_JCR_2018)

**2. Book/Book chapter/Monograph**

<b>Title of Book Chapter/Monograph</b>	<b>Name of Book</b>	<b>Name of Editor</b>	<b>Status International/ National/ Regional</b>	<b>ISBN</b>	<b>Publisher</b>	<b>Volume, Year, Page no. --- to ---</b>	<b>Total number of Authors from DYPES</b>

**3. Extramural Project**

<b>Title of Project</b>	<b>Name of Funding Agency</b>	<b>Total Sanctioned Amount</b>	<b>Year of Award &amp; Duration</b>	<b>Total Amount Allocated for Overhead</b>	<b>Name of PI &amp; CO-PI</b>

\*Attach award letter and supporting document related to Amount Allocated for Overhead

**4. Patent**

<b>Title of Patent</b>	<b>International /National</b>	<b>Patent Grant No &amp; Year</b>	<b>Name of PI &amp; CO-PI from DYPES</b>

**5. Copyright**

Title of Copyright	International /National	Copy right Grant No & Year	Name of Author from DYPES

**6. INCENTIVE FOR PRESENTING PAPER IN CONFERENCE/SEMINARS/ SYMPOSIUM/ WORKSHOP/ FDP /CME etc.**

Title of Conference/Seminar	Invited talk/ Guest speaker/Session chaired/Resource Person	Oral / Poster	International/ National/State	DD/MM/YYYY to DD/MM/YYYY	Amount claimed

\*Kindly attach certificate of presentation/Resource person

*Only one International in two calendar years and one National/ State Conference /Seminars/ Symposium/ Workshop/ FDP/ CME etc. in one calendar year are permissible for individual faculty. he faculty can claim only one (International/National/ State Conference /Seminars/ Symposium/ Workshop/ FDP/ CME etc.) event in a calendar year. Prior permission or approval (through proper channel) is mandatory to claim for incentive.*

**7. Award/Recognition\***

Name of the Award	Name of the Awarding Agency	Date (DD/MM/YYYY)	International/National/State

\*Kindly attach copy of letter/certificate of award

Name and signature of the applicant \_\_\_\_\_

Completely filled form with supporting documents should be submitted to Registrar (member secretary) in hard as well as soft copy.



## **D.Y. PATIL EDUCATION SOCIETY (Deemed to be University), KOLHAPUR**

### **POLICY ON INCENTIVES FOR RESEARCH AND PUBLICATION**

#### **OBJECTIVE**

The primary objective of the proposed incentive policy is to motivate the faculty members (including Post Doctoral Fellow) of D.Y. Patil Education Society, (Institution Deemed to be University) Kolhapur to undertake quality research and other research outputs.

#### **SCOPE OF THE POLICY**

This policy covers all faculty members (including Post Doctoral Fellow) of all Departments. The scope of the policy envisages, in particular:

- To motivate the faculty members to concentrate on research related activities, in addition to teaching, so as to publish research articles in reputed refereed international and national journals with impact factor.
- To pursue efforts to write books, book chapters, monographs etc. for publication by International and National publishers of repute.
- To evince interest among the members of faculty so that they take efforts to establish collaborative research projects with their counterparts in reputed national and foreign Universities.
- To encourage the faculty members to submit proposals and secure funded research projects from various funding agencies in India and Abroad.
- To encourage creativity in the minds of faculty members, so that they make original contributions by way of products, process etc. and obtain patents or copy rights.

#### **DETAILS OF THE POLICY**

- Calendar year will be applicable for consideration of research incentive.
- It is mandatory to have DYPU affiliation in publication/book/book chapter/monographs/patent/copyright/externally funded research project and presented/ invited talk/session chaired in conferences.
- At least one research publication should be published/ accepted in MJDYPU/AJUR/NJDYPU in the same year.
- There is no upper limit for incentives received by individuals.
- Research paper published in indexed journals will be considered for incentive (details follow).
- Research Publication Means: Original research article, review article, short communication, guest editorial, case study or case report, case series, commentary and book review.

- Final decision will be made by the committee.

The committee shall consist of following members:

1. Prof. P.B. Behere, Vice Chancellor	Chairmen
2. Prof. Shimpa Sharma, Pro-Vice Chancellor	Member
3. Prof. R.K. Sharma, Dean, DYP Medical College	Member
4. Prof. C.D. Lokhande, Dean, CIR	Member
5. Prof. Rajesh Khyalappa, Asso. Dean, R & D	Member
6. Prof. Suchitrarani Rathod, Dean, Allied Health Sciences	Member
7. Dr. Arvind Gulbake, Coordinator, R & D	Member
8. Dr. V.V. Bhosale, Registrar	Member Secretary

***In case of any dispute the Vice Chancellor's decision shall be final.***

### **1. Research Publication**

For each Research Publication, author/s will be given one time incentive based on quality of the Journal. The disbursement of incentive will be carried out as per Annexure-I.

### **2. Book/ Book Chapter/ Monograph**

The e-book and hard copy books are considered for research incentive. The pocket books or booklets and books having less than 20 pages are not considered for research incentive.

- I. For each Book (Reference or Text) authored/edited singly or jointly and published by International Publisher (McGraw Hills, Tayler Francis, Springer, Elsevier, CBS Publisher etc. or Apex bodies/Societies with **ISBN (International Standard Book Number)**, the Authors/Editors will be given one time incentive maximum of Rs. 25,000/- (Disbursement of amount among authors/Editors as per Annexure-I)
- II. For each Book (Reference or Text) authored/edited singly or jointly and published by DYPU /National Publisher (McGraw Hills India, JP publisher, Springer India, Elsevier India, CBS Publisher etc. with **ISBN**, the Authors/Editors will be given one time incentive maximum of Rs. 15,000/- (Amount disbursement among authors/Editors as per Annexure-I).
- III. For each Book (Reference or Text) authored/edited singly or jointly and published by Local/ Regional Publisher with **ISBN**, the Authors/Editors will be given one time incentive maximum of Rs. 10,000/- (Amount disbursement among authors/Editors as per Annexure-I).
- IV. For each "Chapter/ monograph" in Book published by International Publisher (as per 2.I) with **ISBN**, the Author/s will be given one time incentive maximum of Rs. 10,000/- (Amount disbursement among author/s as per Annexure-I)
- V. For each "Chapter/monograph" in Book published by National Publisher (as per 2.II & 2.III) with **ISBN**, the author/s will be given one time incentive maximum of Rs. 8,000/- (Amount disbursement among author/s as per Annexure-I)

### **3. INCENTIVE FOR SANCTIONED INTERNATIONAL/ NATIONAL PROJECTS**

#### **International / National Project/s funded by Government &/or private outside agencies**

Principal Investigator (PI) or Co- Principal Investigator/s (Co-PI)\* together (in the ratio of 60:40 %) will be given one time (in first year of the project) 25% of total overhead amount as an incentive.

(\*In case of Co-PI from multi institutional project, the University Share from the project will be considered).

#### **4. PATENT GRANTED**

The incentive maximum of Rs. 50,000/- for International and maximum of Rs. 25,000/- for Indian Patent will be given for successfully granted patent. For more than one investigator, the disbursement of incentive will be as per Annexure-I.

#### **5. Copyright**

The incentive maximum of Rs. 10,000/- for each copyright will be given to DYPU faculty and disbursed equally to faculty.

#### **6. INCENTIVE FOR PRESENTING PAPER IN CONFERENCE/SEMINARS/ SYMPOSIUM/ WORKSHOP/ FDP /CME etc.**

Faculty presented paper (oral/poster)/ invited talk/ guest speaker/session chaired in International or National/ State (organized in India) Conference /Seminars/ Symposium/ Workshop/ FDP/ CME etc. are entitled to get T.A. as per existing rules plus registration fee on submission of true copy of the certificate. Only one International in two calendar years and one National/ State Conference /Seminars/ Symposium/ Workshop/ FDP/ CME etc. in one calendar year are permissible for individual faculty. The faculty can claim only one (International/National/ State Conference /Seminars/ Symposium/ Workshop/ FDP/ CME etc.) event in a calendar year. Prior permission or approval (through proper channel) is mandatory to claim for incentive.

For International Conference: Maximum amount will be 25,000/- (Registration + TA)

National Conference: Maximum amount will be 15,000/- (Registration + TA)

7. The incentive maximum of Rs. 5,000/- for each National and 10,000/- for International **award/recognition** will be given to DYPU faculty.

## GUIDELINES FOR CALCULATION OF INCENTIVE

### 1. For Publication (Research/Review/Editorial/Case report/ Case study)

- Paper published in journals (with no impact factor according to Journal citation report or Thomson Reuter) indexed with **MCI recognized databases**: Rs. 5,000/-
- Paper published in **UGC listed journals** (with no impact factor according to Journal citation report or Thomson Reuter): Rs. 8,000/-
- Paper published in journals (with no impact factor according to Journal citation report or Thomson Reuter) indexed with **Scopus/ Web of Science/ Pubmed/ Indian Citation Index**: Rs. 10,000/-

### 2. For publication with Impact Factor

(*Impact Factor* according to only *Journal Citation Report* or *Thomson Reuter* indexing agency)

S. No.	Range of Impact Factor	Amount (in Rs.)
1.	0.01 to 1	12,000
2.	More than 1 to 5	15,000
3.	More than 5 to 10	20,000
4.	More than 10	30,000

### 3. Incentive disbursement for Research paper /Review/Guest Editorial/Case report/ Case study/Book/ Book chapter/ Monograph/Patent amongst authors

Number of authors from DYPU	Distribution of incentives
Single authored	100%
First and corresponding or co corresponding authors (two authors) from DYPU	First author: 50% Corresponding author: 50%
First author, corresponding author and one middle author (three authors) from DYPU	First author: 35% Corresponding author: 35% Middle author: 30%
First and corresponding or co corresponding authors (only one author) from DYPU	60 %
First author, corresponding or co corresponding authors and two middle authors from DYPU	First author: 30% Corresponding author: 30% Middle authors: 20% each
First author, corresponding author or co corresponding and three middle authors from DYPU	First author: 30% Corresponding author: 30% Middle authors: 13.33% each
One middle author from DYPU	40% each
Two middle authors from DYPU	20% each
Three middle authors from DYPU	13.33% each

First and corresponding or co corresponding authors would share equally 60% and remaining 40% would be shared equally by all other authors in case of contribution with more than 4 authors.

March 16, 2018

### **Minutes of the meeting**

Research incentive policy meeting was held on March 16, 2018 at University office to discuss New Research Incentive Policy. Meeting was chaired by Hon'ble Prof. P.B. Behere (Vice Chancellor). Following members attended the meeting:

- Hon'ble Prof. Shimpa Sharma (Pro-Vice-Chancellor)
- Prof. Rakesh Sharma (Dean Medical College)
- Dr. V.V. Bhosale, Registrar (Member Secretary)
- Prof. C. D. Lokhande (Research Director & Dean CIR)
- Dr. Arvind Gulbake (Coordinator, R & D)

Prof. Lokhande briefed the members about the new research incentive policy drafted by research team.

Following decisions were taken:

1. It was decided to put calendar year for consideration of research activities for incentives.
2. It was decided to give three best publication awards to DYPU authors for in-house publications (i.e., MJDYPU, AJUR and NJDYPU).
3. It was decided that the paper published in indexed journal only will be considered for research incentive.
4. It was decided to implement the approved research incentive policy for the disbursement of incentives from year 2017 onwards.
5. As suggested by Hon'ble Vice-chancellor (Prof. Behere), guest editorial, commentary and book review are included in the policy.
6. It was decided to give incentives to post doctoral fellows (PDF) working in DYPU as like other faculty.
7. It was decided to give incentive to the faculty/ PDF showing extraordinary research activity, to be determined by the committee.
8. After long discussion all the conditions mentioned in policy and annexure-1 the final policy draft has been accepted.

Meeting was concluded by vote of thanks.

**Registrar**

**Vice Chancellor**