



D.Y. PATIL EDUCATION SOCIETY
[Deemed to be University], Kolhapur
Re-accredited by NAAC with 'A' Grade

POLICY ON INCENTIVES FOR RESEARCH AND PUBLICATION

POLICY ON INCENTIVES FOR RESEARCH AND PUBLICATION

1. OBJECTIVE

The primary objective of the proposed incentive policy is to motivate the faculty members (including Post Doctoral Fellow) of D. Y. Patil Education Society (Deemed to be University), Kolhapur (DYPES) to undertake quality research and other research outputs.

2. SCOPE OF THE POLICY

This policy shall cover all faculty members (including Post-Doctoral Fellow) of all the constituent Units/Colleges/Centre/Schools of the University presently existing and also as established from time to time in future. The scope of the policy envisages, in particular:

- a) To motivate the faculty members to concentrate on research-related activities, in addition to teaching, so as to publish research articles in reputed refereed international and national journals with impact factor.
- b) To pursue efforts to write books, book chapters, monographs etc. for publication by International and National publishers of repute.
- c) To evince interest among the members of faculty so that they take efforts to establish collaborative research projects with their counterparts in reputed national and foreign universities.
- d) To encourage the faculty members to submit proposals and secure funded research projects from various funding agencies in India and Abroad and from industry
- e) To encourage creativity in the minds of faculty members, so that they make original contributions by way of products, processes etc. and obtain patents or copyrights.

3. DETAILS OF THE POLICY

- a) Calendar year will be applicable for consideration of research incentive.
- b) It is mandatory to have DYPES affiliation in publication /book /book chapter/ monographs/ patent/copyright/externally funded research project and presented paper/ invited talk/ chaired session in national and international conferences.
- c) At least one research publication should be published/ accepted in Medical Journal of D. Y. Patil University (MJDYPU)/ Annual Journal of University Research (AJUR)/ NJDYPU in the same year or in the In-house Journals of the University).
- d) There is no upper limit for incentives received by individuals.
- e) Research paper published in indexed journals will be considered for incentive (details follow).

Research Publication Means: Original research paper, meta-analysis, reviews, and case series, short communications, conference proceeding publication with ISSN that are published in journals included in Medline, PubMed Central, Citation Index, Sciences Citation Index, Expanded Embase, Scopus, Directory of Open Access Journals (DoAJ) and **UGC CARE listed journals** will be considered). The author must be amongst first three or should be the Corresponding author for consideration for incentive.

Composition of the Committee

The committee shall consist of following members:

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| 1. Vice-Chancellor | Chairman |
| 2. Pro-Vice-Chancellor | Member |
| 3. Dean, DYP Medical College | Member |
| 4. Dean, Centre for Interdisciplinary Research | Member |
| 5. Associate Dean, Research & Development | Member |
| 6. Dean, Allied Health Sciences | Member |
| 7. Coordinator, Research & Development | Member |
| 8. Registrar | Member Secretary |
| 9. Principal, D. Y. Patil College of Physiotherapy, | Member |
| 10. Principal, D. Y. Patil School of Hospitality Studies, | Member |
| 11. Head of the future constituent Units/Colleges/Centres/School members | |

Note: Final decision will be made by the committee.

In case of any dispute, the Vice-Chancellor's decision shall be final.

a) Research Publication

For each Research Publication, author/s will be given one-time incentive based on quality of the Journal decided by impact factor of the journal and mention of journal in the respective regulatory Council and/or UGC-approved databases. The disbursement of incentive will be carried out as per Annexure-I.

b) Book/ Book Chapter/ Monograph

The e-book with ISBN and hard copy books with ISBN are to be considered for research incentive.

- i. For each Book (Reference or Text) authored/edited singly or jointly and published by International Publisher (McGraw Hills, Taylor Francis, Springer, Elsevier, CBS Publisher etc. or Apex bodies/Societies) with **ISBN (International Standard Book Number)**, the Authors/Editors will be given one time incentive maximum of Rs. 35,000/- (Disbursement of amount among authors/Editors as per Annexure-I)

- ii. For each Book (Reference or Text) authored/edited singly or jointly and published by DYPES /National Publisher (McGraw Hills India, JP publisher, Springer India, Elsevier India, CBS Publisher etc.) with ISBN, the Authors/Editors will be given one time incentive maximum of Rs. 25,000/- (Amount disbursement among authors/Editors as per Annexure-I).
- iii. For each Book (Reference or Text) authored/edited singly or jointly and published by Local/ Regional Publisher with **ISBN**, the Authors/Editors will be given one time incentive maximum of Rs. 15,000/- (Amount disbursement among authors/Editors as per Annexure-I).
- iv. For each “Chapter/ monograph” in Book published by International Publisher (as per b.i) with ISBN, the Author/s will be given one-time incentive maximum of Rs. 15,000/- (Amount disbursement among author/s as per Annexure-I)
- v. For each “Chapter/monograph” in Book published by National Publisher (as per b.ii & b.iii) with ISBN, the author/s will be given one time incentive maximum of Rs. 12,000/- (Amount disbursement among author/s as per Annexure-I)
- vi. For each “Chapter/monograph” in Book published by Regional Publisher (as per b.ii & b.iii)) with ISBN, the author/s will be given one time incentive maximum of Rs. 5,000/- (Amount disbursement among author/s as per Annexure-I)

4. INCENTIVE FOR SANCTIONED INTERNATIONAL/ NATIONAL PROJECTS

International / National Project/s funded by Government &/or private outside agencies

Principal Investigator (PI)/Co-PI* together (in the ratio 60:40%) will be given one time (in first year of the project) 25% of overhead amount (as received from funding agency every year) as an incentive.

In case of industries funded projects, where no overhead is received, the university will pay 1.25% of total cost of the project as an incentive to investigators.

(*In case of Co-PI from multi-institutional or University- industry projects, the University share for the project will be considered (1.25 % of university share amount will be paid as an incentive to the investigator).

No incentive will be paid for fully sponsored University project.

5. PATENT GRANTED

The incentive of Rs. 50,000/- for International *or foreign* and of Rs. 25,000/- for Indian Patent will be given for successfully granted patent. For more than one *investigator from DYPES*, the disbursement of incentive will be as per Annexure-I.

6. COPYRIGHT

The incentive maximum of Rs. 15,000/- for each copyright (book, concept maps, original work (scientific or non-scientific) will be the disbursed as per Annexure-I

7. INCENTIVE FOR PRESENTING/ATTENDING PAPER IN CONFERENCE/ SEMINARS/ SYMPOSIUM/ WORKSHOP/ FDP /CME etc.

Faculty presented/attended paper (oral/poster)/ invited talk/ guest speaker/session chaired in International or National/ State (organized in India) Conference /Seminars/ Symposium/ Workshop/ FDP/ CME etc. are entitled to get T.A. as per existing rules plus registration fee on submission of true copy of the certificate. Only one International in two calendar years and one National/ State Conference /Seminars/ Symposium/ Workshop/ FDP/ CME etc. in one calendar year are permissible for individual faculty. The faculty can claim only one (International/National/ State Conference /Seminars/ Symposium/ Workshop/ FDP/ CME etc.) event in a calendar year. Prior permission or approval (through proper channel) is mandatory to claim for incentive.

For International Conference: Maximum amount will be 25,000/- (Registration + TA)

National Conference: Maximum amount will be 15,000/- (Registration + TA)

A faculty will be entitled for one professional membership reimbursement in a year with maximum upto Rs.8000/-

8. INTERNATIONAL AWARD/RECOGNITION

The incentive maximum of Rs. 5,000/- for each National and 10,000/- for International **award/recognition** will be given to DYPES faculty.

- a) Elected or nominated membership of any government of international body will be considered.
- b) Lifetime awards presented National/International conferences, recognized societies etc. will be considered.

GUIDELINES FOR CALCULATION OF INCENTIVE

1. For Publication (Research/Review/Editorial/Case report/ Case study)

- a) Paper published in journals (with no impact factor according to Journal citation report or Thomson Reuter) indexed with NMC recognized databases **Rs 7,000** (Publication cost Rs 3,000/- + Incentive Rs 4,000/-) /-and UGC CARE listed journals: **Rs. 12500/-** (Publication cost Rs 6,000/- + Incentive Rs 6,500/-)
 - a. (Research Publication Means: Original research paper, meta-analysis, reviews, and case series that are that are published in journals included in Medline, PubMed Central, Citation index, Sciences Citation index, Expanded Embase, Scopus, Directory of Open access journals (DoAJ) UGC CARE will be considered). The author must be amongst first three or should be the Corresponding author.)
- b) Paper published in Scopus/PubMed/Web of Science indexed Journals with no impact factor according to Journal citation report or Thomson Reuter: **Rs 16,000/-** (Publication cost Rs 8,000/- + Incentive Rs 8,000/-)

2. For publication with Impact Factor

(*Impact Factor* according to only *Journal Citation Report or Thomson Reuter* indexing agency)

S. No.	Range of Impact Factor	*Article Processing Charges (APCs) (Rs)	Incentive (Rs)	Total Outlay (Rs)
1.	0.01 to 1.00	12,000	8,000	20,000
2.	More than 1.00 to 5.00	15,000	10,000	25,000
3.	More than 5.00 to 10.00	20,000	10,000	30,000
4.	More than 10.00	30,000	10,000	40,000

* Least of actual APCs paid or the above indicated amount.

Alternative Annexure-I

Incentive disbursement for Research paper /Review/Guest Editorial/Case report/ Case study/Book/ Book chapter/ Monograph/Patent amongst authors

Number of Authors from DYPES	Distribution of Incentives
Single authored	100%
First and corresponding authors (two authors) from DYPES	First author: 50% Corresponding author: 50%
First author, corresponding author and third author (three authors) from DYPES	First author: 35% Corresponding author: 35% Third author: 30%
First and corresponding authors (only one author) from DYPES	60 %
First author, corresponding authors and other two authors from DYPES	First author: 30% Corresponding author: 30% other two authors: 20% each
First author, corresponding author and other three authors from DYPES	First author: 30% Corresponding author: 30% other authors: 13.33% each
One author from DYPES Two authors from DYPES Three authors from DYPES	40% 20% each 13.33% each