



**D.Y. PATIL EDUCATION SOCIETY**  
**[Deemed to be University], Kolhapur**  
Re-accredited by NAAC with 'A' Grade



**POLICY ON EXCELLENCE IN  
RESEARCH AWARD(PH.D.)**

## **Policy on Excellence in Research Award(Ph.D.)**

### **1. Objective**

The primary objective of the proposed **Excellence in Research Award** policy is to motivate the Ph. D. students of D. Y. Patil Education Society (Deemed to be University), Kolhapur (DYPES) to undertake quality research and make other research outputs during their Ph. D. program.

### **2. Scope of the Policy**

The Excellence in Research Award policy covers all students and faculty doing Ph.D. (both regular and part time modes) at DYPES.

The scope of the **Excellence in Research Award** policy envisages, in particular:

- a) To motivate Ph.D. students to concentrate on research related activities, to publish research articles in reputed refereed international and national journals with impact factor.
- b) To pursue efforts to write books, book chapters, monographs etc. for publication by International and National publishers of repute.
- c) To encourage creativity in the minds of Ph.D. students, so that they make original contributions by way of products, processes, etc. and obtain patents or copy rights.

### **3. Eligibility Criteria for Excellence in Research Award**

- a) Any regular or part-time Ph.D. student of DYPES, whose Ph.D. degree has been declared after the last convocation of the University.
- b) The Ph.D. student who has submitted the Ph.D. Thesis but result is not declared before the time of application is not eligible for this award.
- c) Recommendation letter from Ph.D. Research Supervisor and Co-supervisor is necessary for making the application of the Award. The recommendation letter should highlight following aspects of the students:
  - i. Experimental skill,
  - ii. Contribution for development of laboratory facilities,
  - iii. Behavior with faculty, colleagues and supporting staff,
  - iv. Overall recommendation in the scale of 0 to 10, 10 being the highest.

**4. For the Award, contribution to the following types will be considered**

- a) IPR generated (Patents, Copyright, etc.).
- b) Book/ Book Chapter/ Monograph/Review.
- c) Research Publication (Research Paper/Papers published in Conference proceedings).
- d) Research paper presentations as oral or poster in international/National/State /Regional conferences, workshops, symposia etc., and
- e) Awards and Recognitions at International /National/State /Regional levels.
- f) Based on the guidelines made for various research contributions, the total number points will be calculated for each applicant and award will be declared to the applicant with highest points.
- g) The applications should be made in prescribed format only, which is available with the Registrar's office.
- h) Application to submit all the required supporting documents in hard copies.

**Following are the requirements for the submission of various research contributions.**

**A. IPR generated (Patents, copyright, etc.)**

- i. The IPR must be generated after the registration for Ph.D. degree.
- ii. The IPR should contain the name of Research supervisor.
- iii. The IPR should contain affiliation to DYPES.
- iv. Granted/ Published IPRs before the time of application will be considered. Submitted IPRs will not be considered.
- v. The calculation of points will be carried out as per *Annexure-I*.

**B. Book/ Book Chapter/ Monograph/review**

The e-version with ISBN and hard copy of Books/Book Chapters/Monographs/ Reviews should be submitted.

The Books (Reference or Text) /Book Chapters/Monographs/Reviews should be

- (i) (a) authored/edited jointly with research supervisor and published by;  
(b) International Publishers (McGraw Hills, Taylor Francis, Springer, ASM, Wiley, Lambert Elsevier, CBS Publisher etc. or Apex bodies/Societies), or  
(c) National publishers (McGraw Hills India, JP Publisher, Springer India, Elsevier India, CBS Publisher, ICMR, CSIR etc.) or

(d) Local/ Regional Publishers with ISBN (International Standard Book Number).

- (ii) Publication should be after Ph.D. Registration.
- (iii) Accepted Book/ Book Chapter/ Monograph before the time of application will be considered. Submitted Book/ Book Chapter/ Monograph will not be considered.
- (iv) Book/ Book Chapter/ Monograph should be related to Ph.D. topic. “Out of Field” Book/ Book Chapter/ Monograph will not be considered.
- (v) Book/ Book Chapter/ Monograph should contain Research Supervisor’s name.
- (vi) Book/ Book Chapter/ Monograph should have affiliation from D. Y. Patil Education Society. Affiliation to other institution will not be considered.
- (vii) The calculation of points will be carried out as per *Annexure-II*.

**C. Research Publication(Research Paper/Papers Published in Conference Proceedings)**

Research Publication Means: Original research paper, meta-analysis, reviews, and case series, short communications, conference proceeding publication with ISSN that are that are published in journals included in Medline, PubMed Central, Citation Index, Sciences Citation index, Expanded Embase, Scopus, Directory of Open access journals (DoAJ) andUGC CARE listed journals will be considered).

- (i) Publication should be after Ph.D. Registration.
- (ii) Accepted publication before the time of application will be considered. Submitted papers will not be considered.
- (iii) Research papers should be related to Ph.D. topic. “Out of Field” papers will not be considered.
- (iv) Research paper should contain research supervisor’s name.
- (v) Research paper should have affiliation from DYPES Affiliation to other institution will not be considered.
- (vi) The calculation of points will be carried out as per *Annexure-III*.

**D. Research Paper Presentations as Oral or Poster in International /National/State/ Regional Conferences, Workshops, Symposia, etc.**

- (i) Research paper presentations should be after Ph.D. Registration.
- (ii) Research paper presentations made before the time of application will be considered. However, a research paper accepted for presentation will not be considered.
- (iii) Research paper presentations should be related to Ph.D. topic. “Out of Field” Research paper presentations will not be considered.
- (iv) Research paper presentations should contain Research Supervisor’s name.
- (v) Research paper presentations should have affiliation from DYPES. Affiliation to other institution will not be considered.
- (vi) The calculation of points will be carried out as per *Annexure-IV*.

**E. Awards and Recognitions at International /National/State/Regional levels.**

The award received in terms of Best Paper Oral Presentation/Best Poster Presentation/ International/National Travel Grant Award/Best Thesis/ Award of Fellowships, etc.

The recognition received in terms of letters of appreciations for project demonstration, membership from professional bodies or government bodies, courses attended, new equipment fabricated for laboratory, etc. The calculation of points will be carried out as per *Annexure-V*.

*Annexure-I*

**The Guidelines for Calculation of Points for Patents.**

Total points allotted are as per following table.

<b>Sr. No.</b>	<b>Patent</b>	<b>Status of patent</b>	<b>Points</b>
1.	National	Granted	75
2.	National	Published	50

The distribution of points will be as per following table  
(irrespective of inventor's position)

<b>Number of Inventers(Including Supervisor)</b>	<b>Points</b>
Two	100%
Three	50%
Four	33.33%
Five	25%

### *Annexure II*

#### **Guidelines for Calculation of Points (Book/ Book chapter/ Monograph)**

Total points allotted are as per following table.

<b>Sr. No.</b>	<b>Publisher</b>	<b>Points Books/BookChapter/Monograph</b>
1.	International Publishers (McGraw Hills, Taylor Francis, Springer, ASM, Wiley, Lambert Elsevier, CBS Publisher etc. or Apex bodies/Societies),	150/75
2.	National publishers (McGraw Hills India, JP publisher, Springer India, Elsevier India, CBS Publisher, ICMR, CSIR etc.)	100/50
3.	Local/ Regional Publishers with ISBN (International Standard Book Number).	60/30

The distribution of points will be as per following table.

<b>Number of Authors</b>	<b>Distribution of Points</b>
First or corresponding authors (two authors)	First author: 50% Corresponding author: 50%
First author, corresponding author and one middle author (three authors)	First author: 35% Corresponding author: 35% Middle author: 30%
First author, corresponding author and two middle authors	First author: 30% Corresponding author: 30% Middle authors: 20% each
First author, corresponding author and three middle authors.	First author: 30% Corresponding author: 30% Middle authors: 13.33% each
First author, corresponding author and four middle authors.	First author: 30% Corresponding author: 30% Middle authors: 10% each

### *Annexure-III*

#### **Guidelines for Calculation of Points for Publication (Research paper /Review/Case report/ Case study/Papers published in conference proceeding)**

Paper published in journals (with no impact factor according to Journal citation report or Thomson Reuter) indexed with **MCI recognized databases and UGC CARE listed journals:20 points**

- **For publication with Impact Factor.**

(*Impact Factor* according to only *Journal Citation Report or Thomson Reuter* indexing agency)

Total points allotted are as per following table.

<b>Sr. No.</b>	<b>Range of Impact Factor</b>	<b>Points</b>
1.	0.01 to 1	25
2.	More than 1 to 5	60
3.	More than 5 to 10	100
4.	More than 10	150

The distribution of points will be as per following table

<b>Number of Authors</b>	<b>Distribution of Points</b>
First or corresponding authors (two authors)	First author: 50% Corresponding author: 50%
First author, corresponding author and one middle author (three authors)	First author: 35% Corresponding author: 35% Middle author: 30%
First author, corresponding author and two middle authors	First author: 30% Corresponding author: 30% Middle authors: 20% each
First author, corresponding author and three middle authors.	First author: 30% Corresponding author: 30% Middle authors: 13.33% each
First author, corresponding author and four middle authors.	First author: 30% Corresponding author: 30% Middle authors: 10% each

*Annexure-IV*

**Guidelines for Research Paper Presentations as Oral or Poster in International/ National/State/Regional Conferences, Workshops, Symposia, etc.**

Total points allotted are as per following table.

<b>Sr. No.</b>	<b>Paper Presentation in Conferences, Workshops, Symposia, etc.</b>	<b>Type</b>	<b>Points</b>
1.	International /National/State/ Regional	Oral	40/30/20/10
2.	International /National/State/ Regional	Poster	30/20/10/05

The distribution of points will be as per following table.

<b>Number of Authors</b>	<b>Distribution of Points</b>
First or corresponding authors (two authors)	First author: 50% Corresponding author: 50%
First author, corresponding author and one middle author (three authors)	First author: 35% Corresponding author: 35% Middle author: 30%
First author, corresponding author and two middle authors	First author: 30% Corresponding author: 30% Middle authors: 20% each
First author, corresponding author and three middle authors.	First author: 30% Corresponding author: 30% Middle authors: 13.33% each
First author, corresponding author and four middle authors.	First author: 30% Corresponding author: 30% Middle authors: 10% each

*Annexure-V*

**Guidelines for Awards and Recognitions at International/National/State/Regional levels.**

Total points allotted are as per following table.

<b>Sr. No.</b>	<b>Award</b>	<b>Points</b>
1.	International /National/State/ Regional	60/50/30/20



## 5. Procedure of Selection of the Award of Excellence in Research

- a) Announcement on the University website about the **Award of Excellence in Research** will be made one month prior to the date of the University convocation.
- b) The total number of **Award of Excellence** is one.
- c) The application format will be available on the University website or can be obtained for The Registrar's office.
- d) The Applications in prescribed format should be submitted to the Register within time mentioned in the announcement.
- e) The applications will be scrutinized by forming a committee by the University.  
Following shall be the composition of Committee;
  - i) Research Director: Chairman
  - ii) Dean, Medical College: Member
  - iii) Two Professors nominated by the Vice Chancellor: Members
  - iv) The Registrar: Member Secretary
- f) Based on the guideline made for various research contributions, the total number points will be calculated for each applicant and award will be declared to applicant with highest points.
- g) The decision made by the committee will be final.
- h) In case of any dispute, the Vice Chancellor's decision will be final.
- i) The award distribution will take place during Convocation Ceremony.